M winmostar tutorial

Quantum ESPRESSO Surface Reconstruction

V11.6.5

4 April 2024 X-Ability Co., Ltd.

About This Manual

- This manual is a tutorial demonstrating use cases for Winmostar V11.
- For those using Winmostar V11 for the first time, please consult <u>Beginner's Guide</u>.
- For those who wish to explore the details of each feature, please refer to <u>Winmostar User Manual.</u>
- Those who wish to practice the contents of this manual are encouraged to attend a training session.
 - <u>Winmostar Introductory Training Session</u>: This guide only introduces the operation methods of the Basic Tutorial.
 - <u>Winmostar Basic Training Session</u>: We will cover the theoretical background, explanations on interpreting results, operational methods of the Basic Tutorial, and procedures for some tutorials beyond the basic level.
 - <u>Individual Training Session</u>: You can freely customize the training content according to your preferences.
- If you are unable to proceed with the operations as outlined in this manual, please first consult <u>Frequently asked questions</u>.
- If your issue is not resolved through the Frequently Asked Questions, for the purpose of information accumulation and management, please contact us using <u>Contact page</u>. Attach files generated at the time of the issue and provide steps to reproduce the problem.
- The copyright for this document is held by X-Ability Co., Ltd. Any copying or duplication of the content in any form without the express permission of X-Ability Co., Ltd. is strictly prohibited.

Overview

- In this manual, we conduct structural optimization calculations for the Si (001) surface and observe the surface reconstruction.
- Various reconstructed surface states of Si (001) are known, and in this tutorial, we obtain the asymmetric dimer $p(2 \times 2)$ structure among them.
- Due to the expected significant changes in atomic positions, the calculations are performed in stages from low to high precision to process efficiently.



Note :

- Depending on the calculation conditions, the result of the structural optimization calculation may converge to a different surface structure.
- The choice of k-points, type of pseudopotential, and cutoff energy can impact the calculation results. In this tutorial, settings with reduced accuracy are used to obtain results more quickly.
- For a detailed explanation of Quantum ESPRESSO's calculation methods and settings, please see the following article from our company:<u>https://qiita.com/xa_member</u>

Preference of Operating Environment

- For users of Winmostar version V11.5.0 or later on a 64-bit environment, please install and configure CygwinWM version 2023/04/05 or later.
 - The CygwinWM version after 2023/04/05 includes the recommended version of 64-bit Quantum ESPRESSO.
- If the above does not apply to you or if you wish to use a version of Quantum ESPRESSO other than <u>the recommended one</u>, you will need to install and configure <u>Windows version of Quantum ESPRESSO</u> separately.

Operating Modes of Winmostar V11

V11 offers two operating modes: **Project Mode** and **File Mode**. This manual focuses on operations in Project Mode.



A. Modeling of the System (Bulk Crystal)

- Please refer to <u>QE Basic Tutorial</u> for the basic operation method.
- For detailed instructions on creating the initial structure, please refer to <u>Winmostar User Manual section 5, 'Methods for Creating Initial Structures'</u>.
- A. Launch Winmostar and click on **Create New Project (3D)**. If Winmostar is already running, click **File | Close** first.
- B. Enter 'si_surf' in **Project name** and click **Save**
- C. Click File | Import | Sample File | si.cif.
 - If you wish to load a different file at this stage, use File | Import File instead.
- D. In Import File dialog, click Discard and import.



B. Execution of Calculation (Bulk Crystal)

- A. Select **Quantum ESPRESSO** from **Solver** in Toolbar and click **⊆** (Workflow Setup). If asked whether to convert to a primitive cell, click **Yes**.
- B. Change Preset to 'Optimize (Atom & Cell)' and change Pseudo file to 'pbe-n-van.upf'.
- C. Click **OK**, then make appropriate settings in **Job Setting** window before clicking **Run**.

	W Quantum ESPRESSO Workflow Setup					- 🗆 X
	Preset Optimize(Atom&Cell)				# of Jo	bs: + 1 -
				Ena	able parameter/struc	cture scan Config
	1st job					+ -
	Task Op	otimize(Atom&Cell) 🗸 🗸	Cutoff energy [Ry] 35.0	Pressure [kbar]	0.0
	Charge [e]	0.	Manually spe	cify cutoff energy	Phonon (DFPT)	Disabled \checkmark
	# of bands	Default \lor	K points Mor	nkhorst-Pack 🗸 🗸 🗸	Use Bravais-la	ttice index
	Spin	Non-polarized $$	(0,0,0)			
	Pseudopoten	itial		Properties		
	Туре	All ~		DOS	Charge density	Phonon DOS
N	Functional	All	\sim	PDOS/Lowdin charge	Potential/ Work func	Phonon band
	seudo file	pbe-n-van.upf	\sim	Band structure	Dielectric func	
	Precision	Medium \vee	Metal		Det	tais
M winmostar	Reset	Import ▼ E	xport 3 X-Abili	ity Co., Ltd	. Powere	d by ChatePT-4

- A. Once the status of work1_QE_Relax changes to END, click Coordinate (Final) in Action.
- B. Click **Solid | Convert Lattice**, and if prompted with '...Do you want to change to an output-ready format (wmm) and continue?', click **Yes**. If asked '...Do you want to convert to conventional cell?', click **Yes**.
- C. Click Solid | Slab Builder, set In number of hkl planes value of Minimum slab size to '3', and change both a-axis and b-axis of Supercell to '2', then click (1) Generate Slab.
- D. Change Vacuum to '5' and click (2) OK.



- A. Click 📧 Align View to X-Axis followed by 🔀 Fit to Window.
- B. As shown in the figure below (left), select the top 3 atomic layers using Ctrl+Drag to make a rectangular selection, then click **Q Delete Atom** and click **Delete**.
- C. As shown in the figurebelow (middle), select the bottom 1 atomic layer using Ctrl+Drag to make a rectangular selection, then click **Q** Delete Atom and click Delete.



- A. As shown in the figure below (left), select the bottom 5 atomic layers using Ctrl+Drag to make a rectangular selection, then click A Modify Selected Group | Change Optimization Flags of Group and click Fix.
- B. Click Select | Select None.



- A. Drag in Viewport, moving the camera so that all four atoms on the topmost surface are visible as shown in the figure below (left).
- B. As shown in the figure below (left), Ctrl+click on one of the atoms on the topmost surface, click Modify Selected Group | Translate (Numerical), change the value of Y to '0.5', and click OK.
- C. Click Select | Select None.
- D. As shown in the figure below (right), Ctrl+click on the atom diagonally opposite to the one selected in step 2, click **A** Modify Selected Group | Translate (Numerical), change the value of Y to '-0.5', and click OK.



D. Execution of Calculation (Slab, Low Precision)

- A. Click **(Workflow Setup)** and if prompted with 'Do you want to continue from previous run?', click **No**.
- B. Change **Preset** to 'Optimize (Atom)' and **Precision** to 'Extra-Low', and check the box for **Metal**.
 - Surface states appearing in the band gap worsen the convergence of SCF, so set it to Metal and apply smearing.
- C. Click **OK**, then make appropriate settings in **Job Setting** window before clicking **Run**.

Preset Optin	nize(Atom)	n	modified)	# of Jo	bs: + 1
			Ena	able parameter/struc	ture scan Config
1st job					+ -
Task	Optimize(Atom) V	Cutoff energy [F	Ry] 30.0	Pressure [kbar]	0.0
Charge [e]	0.	Manually spe	cify cutoff energy	Phonon (DFPT)	Disabled V
# of bands	Default 🗸 🗸	K points Mon	khorst-Pack 🗸 🗸 🗸	Use Bravais-la	ttice index
Spin	Non-polarized \sim	(2221)			
Pseudopot	ential		Properties		
Туре	All 🗸		DOS	Charge density	Phonon DOS
Functional	All	~	PDOS/Lowdin charge	Potential/ Work func	Phonon band
Pseudo file	pbe-n-van.upf	~	Band structure	Dielectric func	NMR
	Extra-low ~	Metal		Det	ails

D. Execution of Calculation (Slab, High Precision)

- A. Once **the status** of work2_QE_Relax changes to **END**, click **(Workflow Setup)** and if prompted with 'Do you want to continue from previous run?', click **Yes**.
- B. Select work2_QE_Relax in 'Select working folder' and click **OK**.
- C. Change **Precision** to 'Medium', click **OK**, then make appropriate settings in **Job Setting** window before clicking **Run**.

🚾 Quantum E	SPRESSO Workflow S		-		×			
Preset Optimize	e(Atom)	~ (modified)	# of Job	# of Jobs: + 1 -			
Coninue from wo	ork5_QE_Relax			Enable scan cal	lculation	Config		
1st job						+ -		
Task Opt	timize(Atom) 🗸 🗸 🗸	Cutoff energy [[Ry] 50.0	Pressure [kbar]	0.0			
Charge [e]	0.	Manually spe	ecify cutoff energy	Phonon (DFPT)	Disabled	~		
# of bands	Default \lor	K points (3x3x1) Mor	nkhorst-Pack v	Use Bravais-latt	tice index			
Spin	Non-polarized \sim							
Pseudopotent	tial		Properties					
Туре	All 🗸		DOS	Charge density	Phono	n DOS		
Functional	All	\sim	PDOS/Lowdin charge	Potential/ Work func	Phono	n band		
Pseudo file	pbe-n-van.upf	~	Band structure	Dielectric func				
Precision	Medium			Deta	ils			
Reset	Import	Export		ОК	R	Cance	el	

E. Result Analysis

- A. Once **the status** of work3_QE_Relax changes to **END**, click **Coordinate (Final)** in Action.
- B. Click **B** Show Multi-View. In Viewport, adjust the display range appropriately by Shift+Dragging. To cancel the tri-view, click **B** Show Multi-View again.



Finally

• For detailed information on each feature, please refer to Winmostar User Manual.





Winmostar User Manual

Scenes from Winmostar Training Session

- If you wish to practice the contents of this guide, please consider attending <u>Winmostar Introductory Training Session</u>, <u>Winmostar Basic Training Session</u>, or <u>Individual Training Session</u>. (See page 2 for details.)
- If you are unable to proceed as instructed in this guide, please first consult <u>Frequently asked questions</u>.
- If FAQs do not resolve your issue, for the purposes of information accumulation and management, please contact us through <u>Contact page</u>, detailing the steps to reproduce the issue and attaching any generated files at that time.